

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

# Annual Return

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

L27100MH1973PLC016617

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAACR6149L

(ii) (a) Name of the company

SARDA ENERGY & MINERALS LI

(b) Registered office address

73 A CENTRAL AVENUE,  
NAGPUR  
Maharashtra  
440018  
India

(c) \*e-mail ID of the company

cs@seml.co.in

(d) \*Telephone number with STD code

7122722407

(e) Website

(iii) Date of Incorporation

23/06/1973

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes  No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes  No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
	Bombay, National Stock Exchange, Calcutta	1,028

(b) CIN of the Registrar and Transfer Agent

U99999MH1994PTC076534

Pre-fill

Name of the Registrar and Transfer Agent

BIGSHARE SERVICES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

E-3 ANSA INDUSTRIAL ESTATESAKI VIHAR ROAD  
SAKINAKA

(vii) \*Financial year From date 01/04/2018 (DD/MM/YYYY) To date 31/03/2019 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM 21/09/2019

(b) Due date of AGM 30/09/2019

(c) Whether any extension for AGM granted  Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C7	Metal and metal products	95.66

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given 13

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	SARDA METALS & ALLOYS LIM	U51420MH2008PLC187689	Subsidiary	100
2	SARDA ENERGY LIMITED	U40102CT2008PLC000406	Subsidiary	100

3	MADHYA BHARAT POWER COF	U74899DL1994PLC061349	Subsidiary	68.31
4	NATURAL RESOURCES ENERGY	U40101CT2007PTC020163	Subsidiary	71.55
5	PARVATIYA POWER LIMITED	U40101UR1994PLC017151	Subsidiary	51
6	SARDA ENERGY & MINERALS H		Subsidiary	100
7	SARDA GLOBAL VENTURE PTE		Subsidiary	100
8	SARDA GLOBAL TRADING DMC		Subsidiary	100
9	CHHATTISGARH HYDRO POWE		Subsidiary	60
10	SARDA HYDRO POWER LLP		Subsidiary	60
11	SHRI RAM ELECTRICITY LLP		Subsidiary	51
12	RAIPUR INFRASTRUCTURE COM	U45203CT2004PLC016321	Joint Venture	33.33
13	MADANPUR SOUTH COAL COM	U10300CT2006PLC020006	Joint Venture	20.13

#### IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

##### (i) \*SHARE CAPITAL

###### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	50,000,000	36,049,235	36,049,235	36,049,235
Total amount of equity shares (in Rupees)	500,000,000	360,492,350	360,492,350	360,492,350

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	50,000,000	36,049,235	36,049,235	36,049,235
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	500,000,000	360,492,350	360,492,350	360,492,350

###### (b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
<b>Equity shares</b>				
<b>At the beginning of the year</b>	36,049,235	360,492,350	360,492,350	
<b>Increase during the year</b>	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				

<b>Decrease during the year</b>	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
<b>At the end of the year</b>	36,049,235	360,492,350	360,492,350	

### Preference shares

#### At the beginning of the year

#### Increase during the year

##### i. Issues of shares

##### ii. Re-issue of forfeited shares

##### iii. Others, specify

#### Decrease during the year

##### i. Redemption of shares

##### ii. Shares forfeited

##### iii. Reduction of share capital

##### iv. Others, specify

#### At the end of the year

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

Nil

[Details being provided in a CD/Digital Media]

Yes  No  Not Applicable

Separate sheet attached for details of transfers

Yes  No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text" value="21/09/2018"/>
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Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	----------------------	--

Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

**(iv) \*Indebtedness including debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			2,422,302,000
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			530,000,000
Deposit			0
<b>Total</b>			<b>2,952,302,000</b>

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

## V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)

### (i) Turnover

16,533,861,000

### (ii) Net worth of the Company

15,656,542,000

## VI. (a) \*SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	7,907,397	21.93	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	18,079,232	50.15	0	



10.	Others (CSP Investment - Chhattisgarh II	147,731	0.41	0	
	<b>Total</b>	26,134,360	72.49	0	0

**Total number of shareholders (promoters)**

21

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	6,096,352	16.91	0	
	(ii) Non-resident Indian (NRI)	205,309	0.57	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	250	0	0	
4.	Banks	22,015	0.06	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	2,253,010	6.25	0	
10.	Others (directors and relatives, NBF	1,337,939	3.71	0	
	<b>Total</b>	9,914,875	27.5	0	0

**Total number of shareholders (other than promoters)**

21,244

**Total number of shareholders (Promoters+Public/Other than promoters)**

21,265

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS**  
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	21	21
Members (other than promoters)	20,749	21,244
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	2	1	2	1	5.55	1.36
<b>B. Non-Promoter</b>	1	6	1	6	0.03	0.07
(i) Non-Independent	1	0	1	0	0.03	0
(ii) Independent	0	6	0	6	0	0.07
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	3	7	3	7	5.58	1.43

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

12

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Kamal Kishore Sarda	00008170	Managing Director	1,309,149	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Pankaj Sarda	00008190	Whole-time director	691,107	
Uma Sarda	00009783	Director	489,425	
Padam Kumar Jain	00008379	Whole-time director	10,073	
Jitender Balakrishnan	00028320	Director	1,667	
Rakesh Mehra	00035812	Director	10,537	
Prabhakar Ram Tripathi	00376429	Director	0	01/04/2019
Lakshminarayanan Chit	01308030	Director	8,000	
Asit Kumar Basu	01382260	Director	4,000	
Gajinder Singh Sahni	02066897	Director	3,500	
Padam Kumar Jain	ACMPJ5876F	CFO	10,073	
Manish Sethi	ARJPS5070Q	Company Secretary	1,680	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

0

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**

**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	21/09/2018	21,862	35	52.87

**B. BOARD MEETINGS**

\*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	26/05/2018	10	8	80
2	11/08/2018	10	10	100
3	21/09/2018	10	9	90
4	03/11/2018	10	10	100
5	02/02/2019	10	8	80
6	04/03/2019	10	4	40

### C. COMMITTEE MEETINGS

Number of meetings held

29

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	25/05/2018	5	3	60
2	Audit Committee	10/08/2018	5	5	100
3	Audit Committee	02/11/2018	5	5	100
4	Audit Committee	01/02/2019	5	5	100
5	Nomination & Remuneration	26/05/2018	4	4	100
6	Nomination & Remuneration	11/08/2018	4	4	100
7	Nomination & Remuneration	21/09/2018	4	3	75
8	Nomination & Remuneration	01/02/2019	4	4	100
9	Stakeholders' Meeting	20/04/2018	2	2	100
10	Stakeholders' Meeting	27/04/2018	2	2	100

### D. \*ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
								21/09/2019
								(Y/N/NA)

1	Kamal Kishore	6	6	100	3	3	100	Yes
2	Pankaj Sarda	6	4	66.67	7	5	71.43	Yes
3	Uma Sarda	6	6	100	0	0	0	No
4	Padam Kumar	6	6	100	1	1	100	Yes
5	Jitender Balak	6	6	100	22	22	100	Yes
6	Rakesh Mehra	6	4	66.67	4	4	100	No
7	Prabhakar Rai	6	4	66.67	6	5	83.33	No
8	Lakshminaray	6	5	83.33	4	4	100	Yes
9	Asit Kumar Ba	6	4	66.67	22	21	95.45	Yes
10	Gajinder Singh	6	4	66.67	8	8	100	Yes

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Kamal Kishore Sarda	Chairman & Mar	14,136,000	35,000,000	0	1,774,000	50,910,000
2	Pankaj Sarda	Jt. Managing Dir	6,284,000	10,000,000	0	839,000	17,123,000
3	Padam Kumar Jain	Wholetime Diect	5,106,000	0	0	432,000	5,538,000
	Total		25,526,000	45,000,000	0	3,045,000	73,571,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Manish Sethi	Company Secre	1,333,000	0	0	46,000	1,379,000
	Total		1,333,000	0	0	46,000	1,379,000

Number of other directors whose remuneration details to be entered

7

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Uma Sarda	Director	0	0	0	120,000	120,000
2	C.K. Lakshminaraya	Independent Dir	0	300,000	0	140,000	440,000

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
3	Asit Kumar Basu	Independent Dir	0	300,000	0	290,000	590,000
4	Rakesh Mehra	Independent Dir	0	300,000	0	120,000	420,000
5	Prabhakar Ram Tripathi	Independent Dir	0	300,000	0	130,000	430,000
6	Gajinder Singh Sahni	Independent Dir	0	300,000	0	160,000	460,000
7	Jitender Balakrishna	Independent Dir	0	300,000	0	340,000	640,000
	Total		0	1,800,000	0	1,300,000	3,100,000

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

#### XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes  No

#### XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Surendra Kumar Jain

Whether associate or fellow

Associate  Fellow

Certificate of practice number

2192

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.  
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

KAMAL  
KISHORE  
SARDA  
Digitally signed by  
KAMAL KISHORE  
SARDA  
Date: 2019.11.28  
14:52:02 +05'30'

DIN of the director

00008170

**To be digitally signed by**

MANISH  
SETHI  
Digitally signed by  
MANISH SETHI  
Date: 2019.11.28  
14:52:57 +05'30'

Company Secretary

Company secretary in practice

Membership number

18069

Certificate of practice number

**Attachments**

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

<b>Attach</b>
<b>Attach</b>
<b>Attach</b>
<b>Attach</b>

**List of attachments**

SARDA_ROC 30032019.pdf Sarda Roc Transfer 010418 to 300319.pdf MGT 8 - 2019.pdf
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<b>Remove attachment</b>
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Modify
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Check Form
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Prescrutiny
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Submit
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**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**



SARDA ENERGY AND MINERALS LTD  
 DETAILS OF TRANSFERS

DATE	TYPE	SHARES	VALUE	SFOLIO	SNAME	BUYER FOLIO & NAME
20/04/2018	1	0000200	000010	S05203	SUNIL KUMAR KOTHARI	S08431 SUNIL KUMAR KOTHARI
20/04/2018	1	0000050	000010	S04858	SHRI SUNIL NAHATA	A05165 ARVIND JAIN
27/04/2018	1	0000100	000010	A05141	ALKA AJIT THAKUR	A05166 AMI TA ANIL ACHAREKAR
18/05/2018	1	0000100	000010	M05013	MOHANLAL THARWANI	M05376 MOHANLAL THARWANI
08/06/2018	1	0000050	000010	G02067	GANDHI MATHI NAGARAJA	M05377 MEENAKSHI CHI DAMBARA
15/06/2018	1	0000100	000010	P02710	PRAMODKUMAR KEDIA	A05167 ASHISH BIRMIWALA
29/06/2018	1	0000150	000010	R04997	RAJENDRA PATODIA	R05811 RAJENDRA K PATODIA
03/08/2018	1	0000050	000010	G02526	GANDHI SADHANA	M05379 MUNOT SADHANA PRAVIN
17/08/2018	1	0000050	000010	B00019	BHARATI CHANDRAKANT	M05380 MANISH SHAH
31/08/2018	1	0000100	000010	S04045	SUNIL KUMAR ARORA	R05812 RAM KAILASH YADAV
21/09/2018	1	0000100	000010	H06187	HARPREET KAUR	H06412 HARPREET KAUR KRISHA
26/10/2018	1	0000100	000010	J02004	JIRUDAYASAMY	J03721 J VIOLA RANI
26/10/2018	1	0000100	000010	A05158	AJIT DAVID PANAKAL	T02594 T J PANAKAL
02/11/2018	1	0000100	000010	H02385	HEMADEVI LALCHAND	N04048 NITIN CHIMANLAL SHAH
02/11/2018	1	0000100	000010	N03642	NARAYAN MOHATA	N04049 NARAYAN DAS MOHATA
23/11/2018	1	0000050	000010	S05964	SUNIL PANDURANG BAPA	S08432 SUDHIR PANDURANG BAP
28/12/2018	1	0000100	000010	S07291	SHIRAZ SOMJEE	S08433 SHIRAZ SOMJEE
28/12/2018	1	0000050	000010	A02350	AMIT RASIKLAL SHAH	A05172 AMIT RASIKLAL SHAH
11/01/2019	1	0000200	000010	P04750	PARI RATILAL MANILAL	N04050 NIDHI JAY THAKKAR
11/01/2019	1	0000200	000010	V04136	VIPUL OHRI	V04138 VARUN SHARMA
08/02/2019	1	0000200	000010	P04750	PARI RATILAL MANILAL	N04050 NIDHI JAY THAKKAR
01/03/2019	1	0000100	000010	U02063	UMA YADAV	D03770 DEEPTI RAO
01/03/2019	1	0000200	000010	K02959	KOUSHALYA AGARWAL	R05813 RACHNA AGARWAL
01/03/2019	1	0000050	000010	S05162	SWAPNIL RAMESHBHAI P	P05023 PRIYA BINIT BHATT
15/03/2019	1	0000050	000010	N03686	NEELAM MAYANK SHAH	K04941 KALPESH SUTARIYA
GRANT TOTAL		2650				



**SURENDRA KUMAR JAIN**

FCS, ACA, FCMA

Practising Company Secretary

**Form No. MGT-8**

*[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]*

**CERTIFICATE BY A PRACTICING COMPANY SECRETARY**

I have examined the registers, records and books and papers of **M/s. SARDA ENERGY & MINERALS LIMITED** (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on 31<sup>st</sup> March, 2019. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I certify that:

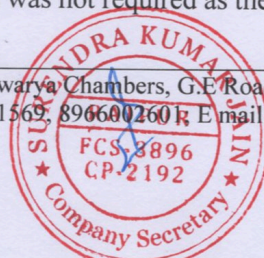
**A.** the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

**B.** during the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:

1. its status under the Act;
2. maintenance of registers/records & making entries therein within the time prescribed there for;
3. filing of forms and returns as stated in the annual return with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/ beyond the prescribed time;
4. calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
5. Closure of Register of Members / Security holders, as the case may be.
6. According to the information and explanations given to us, the Company has complied with section 185 of the Act in respect of the advances/loans made to its directors and/or persons or firms or companies referred in.
7. Transactions with related parties are in compliance with section 188 of the Act and details of such transactions have been disclosed in the financial statements as required by the applicable accounting standards.
8. The Company has not issued any shares under ESOP Scheme during the year. There was no buy back of shares, no reduction of share capital and no conversion of shares. Also, redemption of debentures was not required as there were no debentures.

Office No-C-2, Fourth Floor, Aishwarya Chambers, G.E. Road, Telibandha Raipur, Chhattisgarh-492001

Ph. No: +918962831569, 896600260 E mail Id: cssurendra@yahoo.co.in





**SURENDRA KUMAR JAIN**

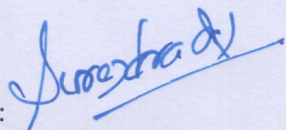
FCS, ACA, FCMA

Practising Company Secretary

9. The Company was not required to keep in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act.
10. declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
11. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
13. appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;
14. No approval was required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
15. The Company has not accepted/ renewed any deposits;
16. borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;
17. Loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act ;
18. There was no alteration of the provisions of the Memorandum and/ or Articles of Association of the Company;

Place: Raipur  
Date: 25/11/2019



Signature:   
Name: Surendra Kumar Jain  
Membership No.: 3896  
C.P. No.: 2192  
UDIN: **F003896A000301094**